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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003498.P014

Total Pages 2

First Named Inventor or Application Identifier Rajugopal R. Gubbi

Express Mail Label No. EM088453153US

jc540 U.S. PTO
09/15/97
09/11/98

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. XX Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. XX Specification (Total Pages 56)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. XX Drawings(s) (35 USC 113) (Total Sheets 11)
4. XX Oath or Declaration (Total Pages 5)
 - a. XX Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

jc526 U.S. PTO
09/11/98

09151579 "091198

7. _____ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. _____ Computer Readable Copy
b. _____ Paper Copy (identical to computer copy)
c. _____ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. XX Assignment Papers (cover sheet & documents(s))
9. _____ a. 37 CFR 3.73(b) Statement (where there is an assignee)
XX b. Power of Attorney
10. _____ English Translation Document (if applicable)
11. _____ a. Information Disclosure Statement (IDS)/PTO-1449
_____ b. Copies of IDS Citations
12. _____ Preliminary Amendment
13. XX Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. _____ a. Small Entity Statement(s)
_____ b. Statement filed in prior application, Status still proper and desired
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ Other: _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

____ Continuation ____ Divisional ____ Continuation-in-part (CIP)
of prior application No: _____

18. **Correspondence Address**

____ Customer Number or Bar Code Label _____
(Insert Customer No. or Attach Bar Code Label here)
or

XX Correspondence Address Below

NAME Tarek N. Fahmi THFL

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

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12/01/97

- 2 -

PTO/SB/05 (12/97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09151579 "091193

FEE TRANSMITTAL**TOTAL AMOUNT OF PAYMENT (\$)** 1302.00**Complete if Known:**

Application No. _____
 Filing Date Herewith
 First Named Inventor Rajugopal R. Gubbi
 Group Art Unit Not Assigned
 Examiner Name Not Assigned
 Attorney Docket No. 003498.P014

METHOD OF PAYMENT (check one)

1. ☒ [XX] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

☐ [] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ XX Payment Enclosed
☒ XX Check
 _____ Money Order
 _____ Other

FEE CALCULATION (fees effective 10/01/97)**1. FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	790	201	395	Utility application filing fee	<u>790.00</u>
106	330	206	165	Design application filing fee	_____
107	540	207	270	Plant filing fee	_____
108	790	208	395	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)					\$ 790.00

2. CLAIMS

			Extra		Fee from below		Fee Paid
Total Claims	<u>34</u>	- 20 =	<u>14</u>	X	<u>22.00</u>	=	<u>308.00</u>
Independent Claims	<u>5</u>	- 3 =	<u>2</u>	X	<u>82.00</u>	=	<u>164.00</u>
Multiple Dependent Claims				X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	22	203	11	Claims in excess of twenty	<u>308.00</u>
102	82	202	41	Independent claims in excess of 3	<u>164.00</u>
104	270	204	135	Multiple dependent claim	_____
109	82	209	41	Reissue independent claims over original patent	_____
110	22	210	11	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) **\$ 472.00**

09151579-091198

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	950	217	475	Extension for response within third month	
118	1,510	218	755	Extension for response within fourth month	
128	2,060	228	1,030	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,320	241	660	Petition to revive unintentionally abandoned application	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3)\$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Tarek N. Fahmi

Signature Tarek N. Fahmi

Date 9/11/98

Reg. Number 41,402

Deposit Account User ID _____

(complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EM088453153US

Date of Deposit: 9/11/98

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Christine Jacquet

(Typed or printed name of person mailing paper or fee)

Christine Jacquet

(Signature of person mailing paper or fee)

9/11/98

(Date signed)

Serial/Patent No.: _____

Filing/Issue Date: _____

Client: Sharewave, Inc.

Title: METHOD AND APPARATUS FOR ACCESSING A COMPUTER NETWORK COMMUNICATION CHANNEL

BSTZ File No.: 003498.P014

Atty/Secty Initials: TNF/cj

Date Mailed: September 11, 1998

Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☒ Application - Utility (56 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- ☐ Application - Design (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☒ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☒ Declaration & POA (4 pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)
- ☒ Drawings: 11 # of sheets includes 19 figures

- ☒ Express Mail No.: EM088453153US
- ☐ _____ Month(s) Extension of Time
- ☐ Information Disclosure Statement & PTO 1449 (____ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☒ Postcard
- ☐ Power of Attorney (____ pgs.)
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☐ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

Check No. 24202
Amt: \$462.00
Check No. 24199
Amt: \$40.00

☒ Other: Utility Patent Application Transmittal